



Audit Committee
People & Communities
(Housing & Communities)

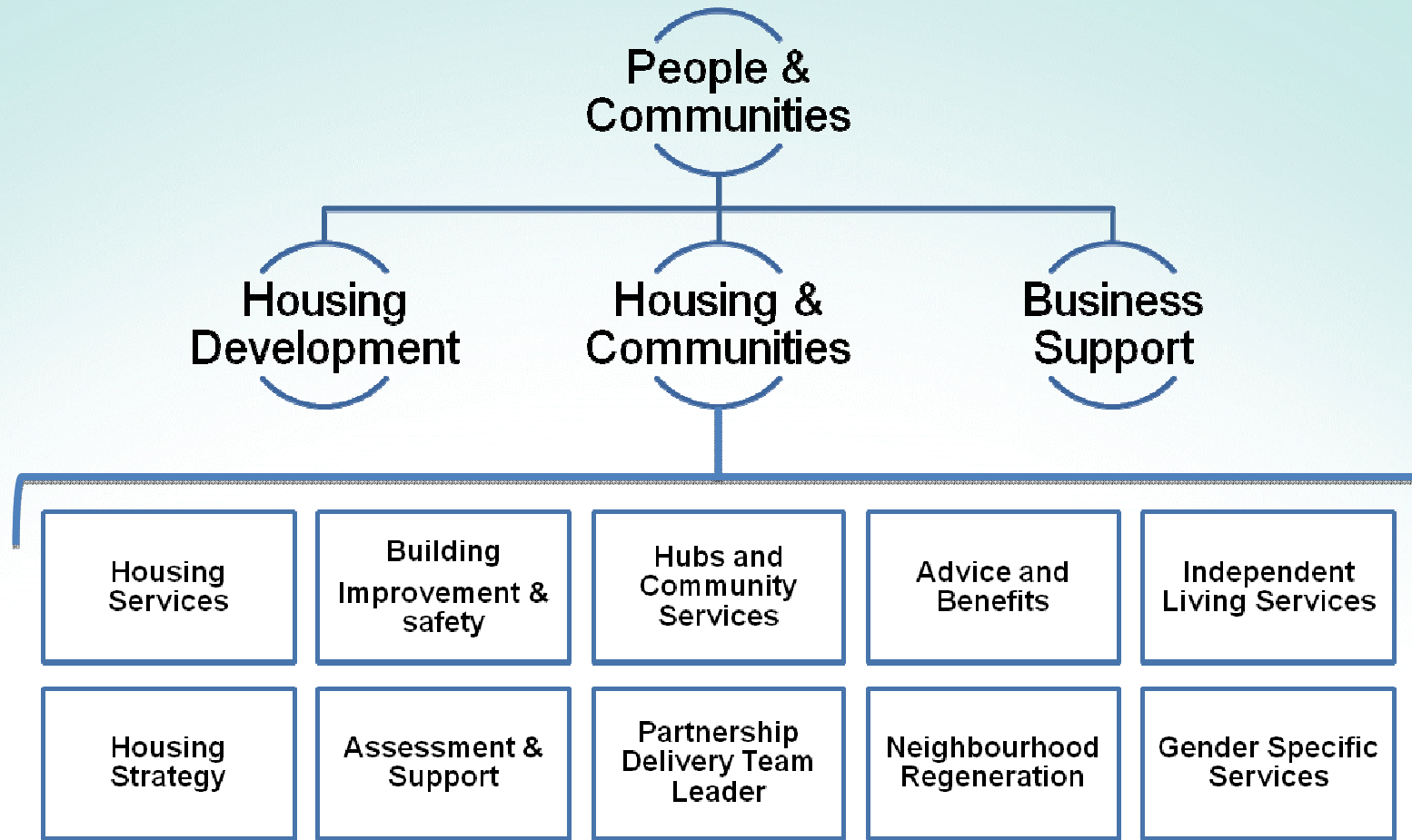
13th November 2018



Gweithio dros Gaerdydd, gweithio gyda'n gilydd
Working for Cardiff, working together



High Level Organisational Chart



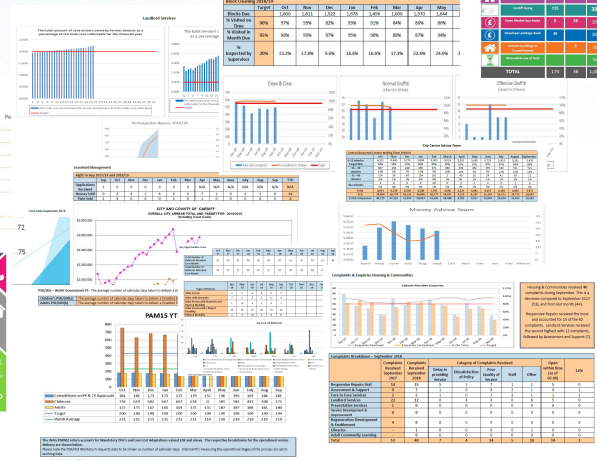
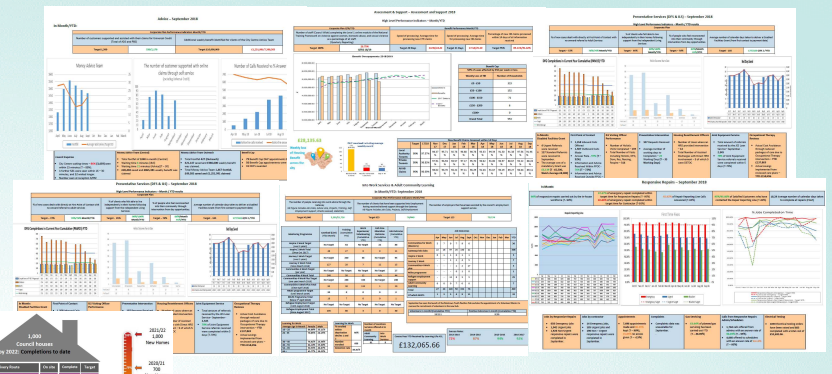
Business Planning Framework



Performance Framework – Core Data

There is a strong performance management framework with the Directorate

Each Section has their own A3 highlight sheet that displays key demand information and their key performance indicators



Sitting below this is a comprehensive Core Data Set

These are produced on a monthly basis and this comprehensive approach to performance management acts as the management control mechanism for all the directorate risks



Management / mitigation of the directorate's risks on the Corporate Risk Register

Both Corporate and Operational Risks are reviewed regularly to keep them live documents

The directorate has ownership of one risk on the **Corporate Risk Register**, Welfare Reform, which continues to be mitigated through a range of proactive services and will need constant monitor and review following the implementation of Universal Credit Full Service.

There is Fire Safety, an **Escalated Risk** which sits with Housing & Communities for Council Housing but also with Economic Development as the Corporate Landlord for Council Premises, for all other Council Buildings.

Each Section have their own **Operational Risk Register** and there are currently 38 risks identified and managed on these lists

Ref	Risk Description	Impact	Current Status	Responsible Director	Priority	Ownership	Owner
1	<p>Welfare Reform</p> <p>The risk of welfare reform implementation leading to increased homelessness, poverty and social exclusion. This risk is being managed through a range of proactive services and will need constant monitor and review following the implementation of Universal Credit Full Service.</p>	High	Escalated	Director of Welfare Reform	High	Corporate	Director of Welfare Reform

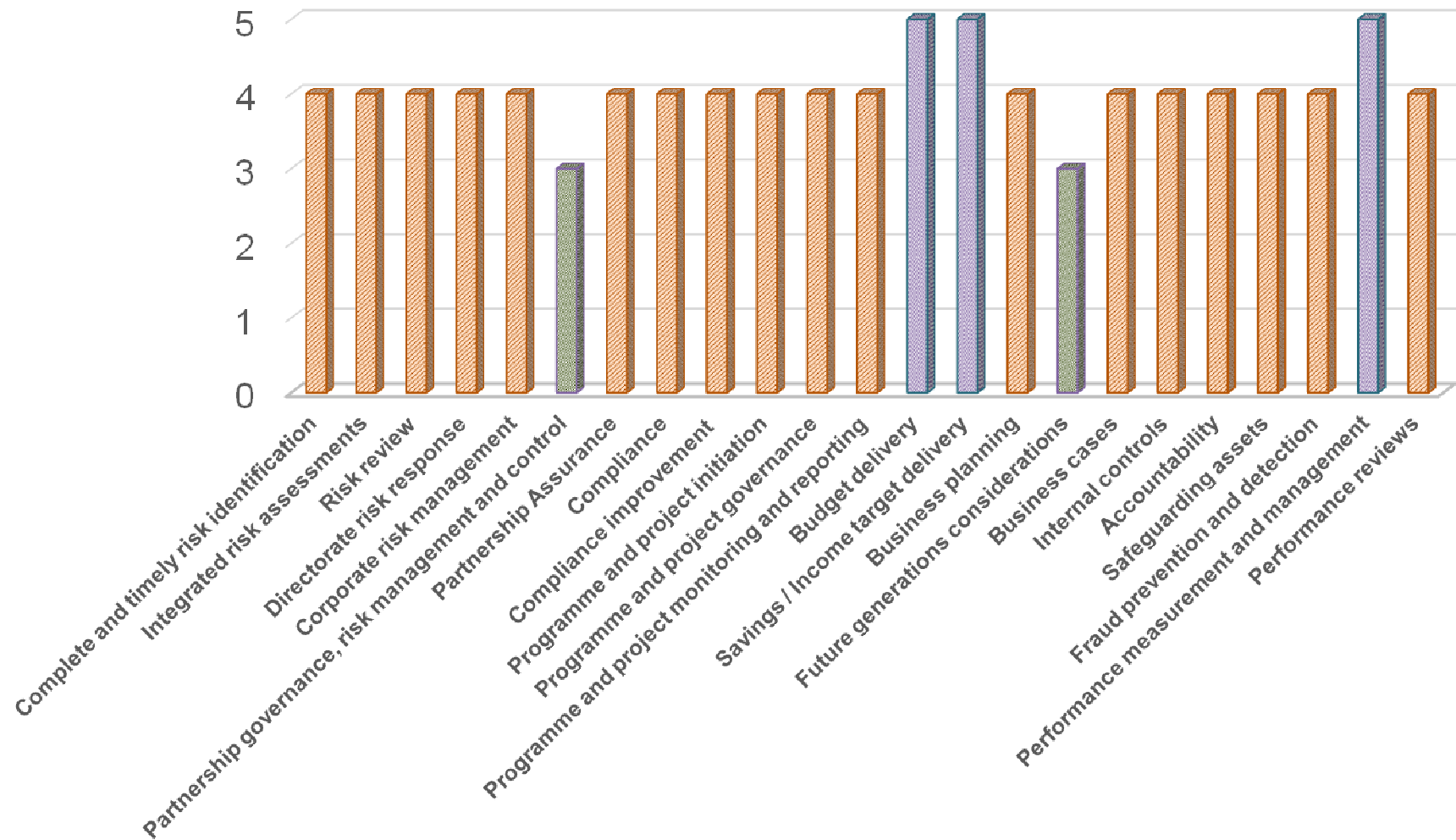
Ref	Risk Description	Impact	Current Status	Responsible Director	Priority	Ownership	Owner
2	<p>Fire Safety</p> <p>The risk of fire safety incidents leading to loss of life, injury, property damage and reputational harm. This risk is being managed through a range of proactive services and will need constant monitor and review following the implementation of Universal Credit Full Service.</p>	High	Escalated	Director of Housing & Communities	High	Corporate	Director of Housing & Communities

Ref	Risk Description	Impact	Current Status	Responsible Director	Priority	Ownership	Owner
3	<p>Operational Risk</p> <p>The risk of operational issues leading to service disruption, reputational harm and financial loss. This risk is being managed through a range of proactive services and will need constant monitor and review following the implementation of Universal Credit Full Service.</p>	Medium	Operational	Director of Operational Services	Medium	Operational	Director of Operational Services



People and Communities SMAS 2018/19 (Mid Year)

■ 1. Not in Place
 ■ 2. Limited Application
 ■ 3. Mixed Application
 ■ 4. Strong Application
 ■ 5. Embedded



Monitoring / implementing recommendations made in Internal Audit reports

Audit	Status	Assurance rating	Number of recommendations
Commissioning and procurement	Final report issued	Effective with opportunity for improvement	5
Effective decision making	Final report issued	Effective	0
Communities First	Final report issued	Effective	2
Governance	Final report issued	Effective	0
Payroll	Final report issued	Effective with opportunity for improvement	12

As at 22nd October 2018 of the 19 recommendations 18 have been completed there remains 1 recommendation that are still in process. Working with internal audit this will continue to be monitored through to completion.



Any questions?

